

# Jacob's Elevator - Neural Network Exchange-Correlation Functionals

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Hohenberg-Kohn theorem:

$$n_{gs}(r) \overset{1 \leftarrow to \rightarrow 1}{\longleftrightarrow} v_{ext}(r)$$

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N-electron system  $n(r)$   $\rightarrow$  non-interacting Kohn-Sham system  $n(r)$

$$\left( -\frac{1}{2} \nabla^2 + v_{KS}[n](r) \right) \phi_j = \epsilon_j \phi_j(r)$$

$$v_{KS}[n](r) = v_{ext}(r) + v_H[n](r) + v_{xc}[n](r)$$

# Kohn-Sham Density Functional Theory

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$$v_{KS}[n](r) = v_{ext}(r) + v_H[n](r) + v_{xc}[n](r)$$

$$E_V[n_{gs}] = T_{KS}[n] + V[n] + U_H[n] + E_{xc}[n]$$

$$v_{xc}(r) = \frac{\delta E_{xc}}{\delta n(r)}$$

How to write  $E_{xc}$  and  $v_{xc}$ ???

Heaven of chemical  
accuracy



Heaven of chemical  
accuracy



Hybrid

Meta-GGA

GGA

LDA

Hartree World



- Research the viability and behaviour of strongly non-local functionals and training approaches

# Machine Learning Approaches for DFT

- Research the viability and behaviour of strongly non-local functionals and training approaches

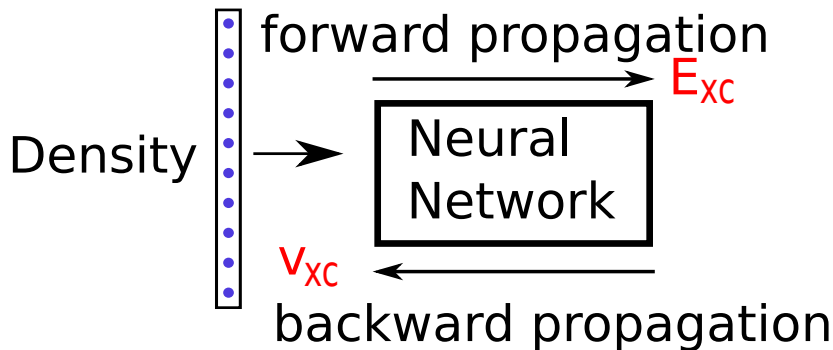
Previous approaches:

- Learning the exchange-correlation potential *Tozer et al. J.Chem.Phys. 1996, Nagai et al. J.Chem.Phys. 2018*
- Learning the non-interacting kinetic energy functional *Snyder et al. J.Chem.Phys 2013, Brockherde et al. Nat.Commun. 2017*

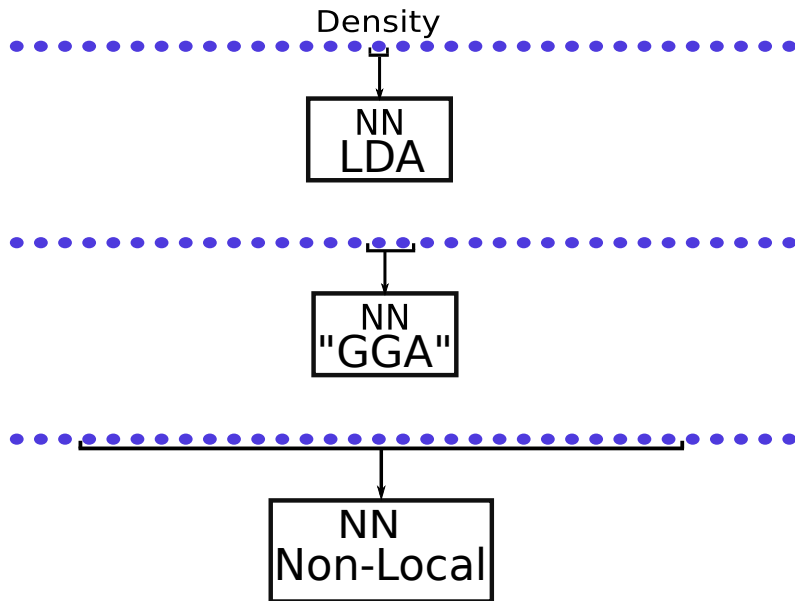
Challenges:

- Availability of the functional derivative (*Nagai et al. Arxiv 2019*)
- Notoriously difficult to develop accurate kinetic energy functional



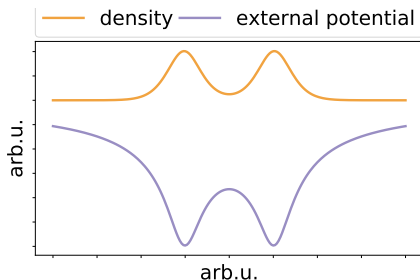


Calculate  $v_{xc}$  through autograd functionality of neural network frameworks



- Exact calculations with 2 electrons in randomized 1D-external potentials
- Inversion of the Kohn-Sham system leads to  $E_{xc}$  and  $v_{xc}$  which is used for training

$$v_{ext} = -\frac{Z_1}{(\alpha_1 + (r - R_1)^2)^{\frac{1}{2}}} - \frac{Z_2}{(\alpha_2 + (r - R_2)^2)^{\frac{1}{2}}} - \frac{Z_3}{(\alpha_3 + (r - R_3)^2)^{\frac{1}{2}}}$$



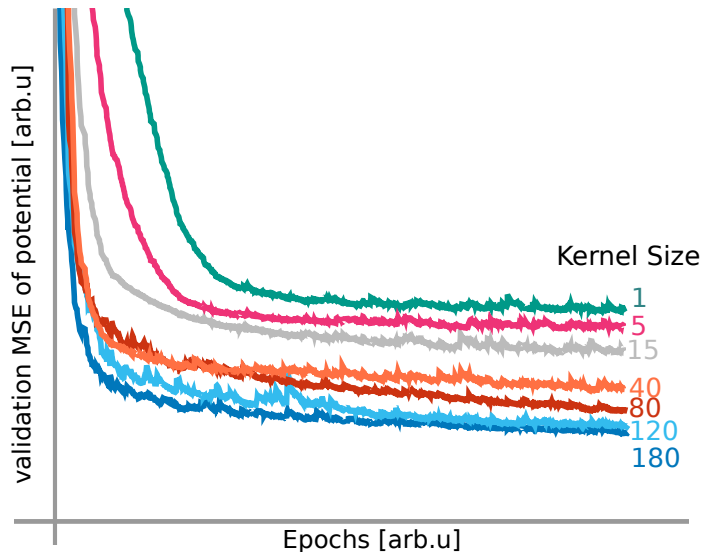
Goal achieve optimal total energy:

$$E_{total} = \sum E_i + E_{xc} - \int v_{xc}(r)n(r)dr - E_H$$

Loss function:

$$L(\theta, n_i) = \alpha \text{MSE}(E_{xc}) + \beta \text{MSE}(v_{xc}) + \gamma \text{MSE}\left(\frac{dv_{xc}(r)}{dr}\right) + \delta \text{MSE}\left(E_{xc} - \int v_{xc}(r)n(r)dr\right)$$

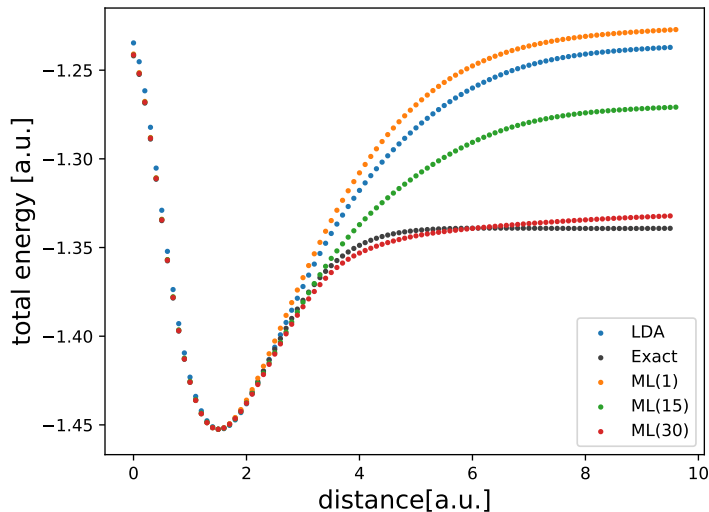
# Locality



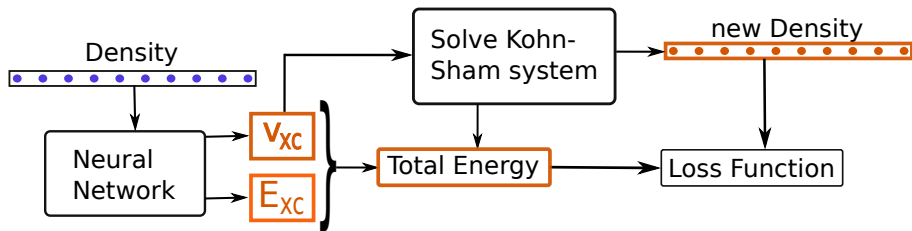
Relative energy errors for self consistent KS-calculations:

Kernel	MAE [a.u. $10^{-2}$ ]	MAE(LDA)/MAE(ML)
DFT LDA	1.35	1.0
1	0.81	0.6
2	0.79	0.59
15	0.41	0.30
30	0.21	0.16
40	0.34	0.25

# 1D-H2-Dissociation

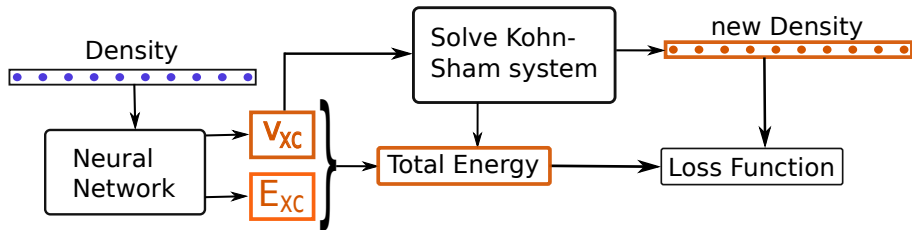


# Self-consistent Training





# Self-consistent Training



- Advantage: No inversion needed
- Disadvantage: More challenging to train

# Summary

- 1 Modern machine learning frameworks allow the simple training of functionals and their derivatives
- 2 Neural networks facilitate the development of strongly non-local exchange-correlation functionals

## Outlook:

- Development of 3D functionals for molecules and solids

## Acknowledgement:

Carlos Benavides, Miguel Marques

